

## Problem Set 9

Due: **10:00pm, Monday, April 14**

This problem set focuses on the computability of functions, or equivalently, languages. The Halting Problem plays a central role. You are also expected to prove through reduction.

Write your answers in the `ps9.tex` LaTeX template. You will submit your solutions in GradeScope as a PDF file with your answers to the questions in this template. There are four “required” problems and one “bonus” practice, where the bonus gives extra points.

**Collaboration Policy:** You may discuss the problems with anyone you want. You are permitted to use any resources you find for this assignment **other than solutions from previous/concurrent CS3120 courses**. You should write up your own solutions and understand everything in them, and submit only your own work. You should note in the *Collaborators and Resources* box below the people you collaborated with and any external resources you used. You shall explicitly state the *content*, e.g., the main message in your collaborated discussion, the search keywords, the LLM/AI prompts, or the section in a book.

**Collaborators and Resources:** TODO: replace this with your collaborators and resources (if you did not have any, replace this with *None*)

To do this assignment:

1. Open this read-only Overleaf project located at <https://www.overleaf.com/read/xgyzkjpszgcfj#d01097>, and then copy this project.
2. Open your copy of the project and in the left side of the browser, you should see a file directory containing `ps9.tex`. Click on `ps9.tex` to see the LaTeX source for this file, and enter your solutions in the marked places.
3. The first thing you should do in `ps9.tex` is set up your name as the author of the submission by replacing the line, `\submitter{TODO: your name}`, with your name and UVA id, e.g., `\submitter{Haolin Liu (srs8rh)}`.
4. Write insightful and clear answers to all of the questions in the marked spaces provided.
5. Before submitting your PDF file, also remember to:
  - List your collaborators and resources, replacing the TODO in `\collaborators{TODO: replace ...}` with your collaborators and resources. (Remember to update this before submitting if you work with more people.)
  - Replace the second line in `ps9.tex`, `\usepackage{uvatoc}` with `\usepackage[response]{uvatoc}` so the directions do not appear in your final PDF. Starting from this Problem Set, we may deduct 3pt if you forgot this step.

**Definition 1 (Computable functions)** A boolean function  $f : \{0, 1\}^* \rightarrow \{0, 1\}$  is called *computable* if there exists a Turing machine  $M$  such that  $M(x) = f(x)$  for all  $x \in \{0, 1\}^*$ . The definition extends to functions  $f : \{0, 1\}^* \rightarrow \mathbb{N}$ .

**Definition 2 (Universal Turing machine)** Consider an arbitrary binary representation of Turing machines (e.g., encoding the transition function in bits in a standard way). For any string  $w \in \{0, 1\}^*$ , if  $w$  is the binary representation of a Turing machine, let  $\text{TM}_w$  be the Turing machine; otherwise, let  $\text{TM}_w$  be  $\emptyset$ . A Turing machine  $U$  is a *universal Turing machine* iff for any  $w, x \in \{0, 1\}^*$ , it holds that

$$U(w, x) = \begin{cases} \text{TM}_w(x) & \text{if } \text{TM}_w \neq \emptyset \\ 0 & \text{otherwise.} \end{cases}$$

**Problem 1 (6pt)** A reduction from SELFREJECTS to ACCEPTS.

Recall that in Class 19, we defined  $\text{ACCEPTS} : \{0, 1\}^* \times \{0, 1\}^* \rightarrow \{0, 1\}$  to be the following boolean function, and we proved that ACCEPTS is uncomputable.

$$\text{ACCEPTS}(w, x) = \begin{cases} 1 & \text{if } \text{TM}_w \neq \emptyset \text{ and } \text{TM}_w(x) = 1 \\ 0 & \text{otherwise.} \end{cases}$$

We want to prove that ACCEPTS is uncomputable *in another way*. Hence, we define another boolean function SELFREJECTS below.

$$\text{SELFREJECTS}(w) = \begin{cases} 1 & \text{if } \text{TM}_w \neq \emptyset \text{ and } \text{TM}_w(w) = 0 \\ 0 & \text{if } \text{TM}_w \neq \emptyset \text{ and } \text{TM}_w(w) \neq 0 \\ 1 & \text{otherwise, } \text{TM}_w = \emptyset \end{cases}$$

Intuitively, for any string  $w \in \{0, 1\}^*$ , the function SELFREJECTS outputs 1 if and only if the Turing machine  $\text{TM}_w$  rejects the string  $w$ . Clearly, there are many self-rejecting Turing machines, e.g., some Turing machines reject all inputs. Suppose that we can prove that SELFREJECTS is uncomputable (it is a good exercise). Given that, we want a *reduction* from SELFREJECTS to ACCEPTS. That is, to show that if we can compute ACCEPTS, then we can compute SELFREJECTS. This contradicts that SELFREJECTS is uncomputable.

The following is a (potentially wrong) reduction. State whether it is a “correct” or “wrong” proof. If it is wrong, point to what is wrong clearly.

1. For contradiction, assume that ACCEPTS is computable.
2. By the definition of computable, there exists a Turing machine  $M_A$  that computes ACCEPTS.
3. By definition of binary representation, there exists a string  $w_A \in \{0, 1\}^*$  such that  $M_A = \text{TM}_{w_A}$ .
4. Define a new Turing machine  $M_D$  to be

$$M_D(x) = \text{NOT}(U(w_A, (x, x))).$$

5. Because  $U(w_A, (x, x)) = \text{TM}_{w_A}(x, x) = M_A(x, x) = \text{ACCEPTS}(x, x)$ , we have  $M_D(x)$  computes  $\text{NOT}(\text{ACCEPTS}(x, x))$ .
6. The function  $\text{NOT}(\text{ACCEPTS}(x, x))$  is identical to  $\text{SELFREJECTS}(x)$ .
7.  $M_D(x)$  computes  $\text{SELFREJECTS}(x)$ .
8. We know  $\text{SELFREJECTS}(x)$  is uncomputable, a contradiction.

**Answer:**

**Problem 2 (7pt)** *Prove that the Busy Beaver Problem (defined in class and below) is uncomputable.*

**Definition 3 (Busy Beaver Problem)** *For any  $n \in \mathbb{N}$ , define  $BB_2(n)$  as the maximum number of steps for which a Turing Machine with  $n$  states and 2 symbols can execute and halt, starting from a blank tape.*

The Busy Beaver Problem is defined above. Prove the function  $BB_2(n)$  is uncomputable. Your proof could show that assuming a Turing machine that can solve the Busy Beaver problem (that is, it can output the correct value of  $BB_2(n)$  for any input  $n \in \mathbb{N}$ ) leads to a contradiction (that it, it would allow for a TM that can compute some function we know is uncomputable).

**Answer:**

**Problem 3 (6pt)** *Halts in  $k$  steps*

Consider the language:

$$H_k = \{w \in \{0, 1\}^* \mid \text{TM}_w \text{ is a Turing machine which halts in } k \text{ or fewer steps when it receives no input}\}$$

Show that  $H_k$  is computable for every choice of  $k \in \mathbb{N}$ .

You shall use the definition of *computable* languages, given in Definitions 5 and 6.

**Answer:**

**Problem 4 (6pt)** Prove that  $H_*$  (defined below) is not computable.

Define the language  $H_* = \bigcup_{k \in \mathbb{N}} H_k$ .

**Answer:**

**Practice 1 (Bonus, 3pt)** *Prove that the Busy Boa Problem (defined below) is uncomputable.*

Define the the Busy Boa Problem as:

**Definition 4 (Busy Boa Problem)** *For any  $n \in \mathbb{N}$ , define  $BOA(n)$  as the largest integer that an idealized Python function implemented using at most  $n$  characters, and which takes no input, can return (and halt).*

The *idealized Python* language is the Python language you are familiar with, but without any arbitrary limits. So, for example, it provides a  $+$  operation that works on all natural numbers, unlike any actual Python implementation which can only implement  $+$  for a subset of  $\mathbb{N}$ . The Python function may use any “built-in” library provided by a standard Python interpreter. By *no input*, the Python function gets no input of any format, such as parameters, read from any I/O devices in the system, and “import” an arbitrary package.

**Answer:**

**Practice 2 (Bonus, 3pt)** *Computability is closed under union.*

Show that if the language  $L_1$  is computable (i.e. there is some Turing Machine  $M_1$  such that  $L_1 = \mathcal{L}(M_1)$ ), and language  $L_2$  is computable (i.e. there is some Turing Machine  $M_2$  such that  $L_2 = \mathcal{L}(M_2)$ ), then the language  $L_1 \cup L_2$  is also computable.

You should use the following definitions of computable languages:

**Definition 5 (Language of a Turing Machine)** *We say that the language of a Turing Machine  $M$ , denoted  $\mathcal{L}(M)$ , is the set of all input strings which  $M$  accepts (i.e. halts and returns 1).*

**Definition 6 (Computable Language)** *We say that a language  $\mathcal{L}_c$  is computable if there is some always-halting Turing Machine  $M$  such that  $\mathcal{L}_c = \mathcal{L}(M)$ .*

**Answer:**

**Do not write anything on this page; leave this page empty.**

This is the end of the problems for PS9. Remember to follow the last step in the directions on the first page to prepare your PDF for submission.